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PTO/SB/01 (12-97)

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

Declaration Submitted with Initial Filing       Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	PA-98-008
First Named Inventor	Fitzgerald
COMPLETE IF KNOWN	
Application Number	/
Filing Date	November 10, 1998
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AUTOMATED SHELF MANAGEMENT SYSTEM AND PROCESS FOR  
TRACKING AND PURGING FILE FOLDERS IN A FILE STORAGE**

the specification of which  
 is attached hereto  
OR  
 was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

**FACILITY**

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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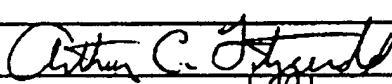
## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 385(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number		Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (If applicable)
<input type="checkbox"/> Additional U.S. or PCT International application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.			
As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: <input type="checkbox"/> Customer Number <input type="text"/> → <input type="checkbox"/> Place Customer Number Bar Code Label here OR <input checked="" type="checkbox"/> Registered practitioner(s) name/registration number listed below			
Name	Registration Number	Name	Registration Number
Kenneth L. Milik	30,963		

<input type="checkbox"/> Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.			
Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label <input type="text"/> OR <input checked="" type="checkbox"/> Correspondence address below			
Name	Kenneth L. Milik, Milik & Medeiros, P.A.		
Address	57 Main Street		
Address			
City	Concord	State	MA
Country	USA	Telephone	978-371-0524
		Fax	978-287-5653

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

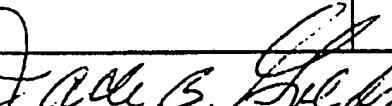
Name of Sole or First Inventor:	<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle if any)		Family Name or Surname			
Arthur C.		Fitzgerald			
Inventor's Signature				Date	11/10/98
Residence: City	West Peabody	State	MA	Country	USA
Post Office Address	203 Pine Brook Drive				
Post Office Address					
City	West Peabody	State	MA	ZIP	01960
<input type="checkbox"/> Additional Inventors are being named on the		supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto			

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 3 of 3

Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
John F.		Coughlan				
Inventor's Signature						11/10/98 Date
Residence: City	Buzzards Bay	State	MA	Country	USA	Citizenship
Post Office Address	29 Bay Pointe Drive					
Post Office Address						
City	Buzzards Bay	State	MA	ZIP	02532	Country
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
Jack B.		Goldman				
Inventor's Signature						11/10/98 Date
Residence: City	Brookline	State	MA	Country	USA	Citizenship
Post Office Address	96 Harvard Avenue					
Post Office Address						
City	Brookline	State	MA	ZIP	02446	Country
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor				
Given Name (first and middle [if any])		Family Name or Surname				
Inventor's Signature						Date
Residence: City		State		Country		Citizenship
Post Office Address						
Post Office Address						
City		State		ZIP		Country

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Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 17, 1999

PTAS



MILIK & MEDEIROS  
KENNETH L. MILIK  
57 MAIN STREET  
CONCORD, MA 01742

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 11/10/1998

REEL/FRAME: 9867/0989  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:  
FITZGERALD, ARTHUR C.

DOC DATE: 11/10/1998

ASSIGNEE:  
COUGHLAN, JOHN F.

DOC DATE: 11/10/1998

ASSIGNEE:  
GOLDMAN, JACK B.

DOC DATE: 11/10/1998

ASSIGNEE:  
ELECTRONIC PAPER SOLUTIONS, INC.  
203 PINE BROOK DRIVE  
WEST PEABODY, MASSACHUSETTS 01960

SERIAL NUMBER: 09189772  
PATENT NUMBER:

FILING DATE: 11/10/1998  
ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

4.8.99

FAX/IM 12101

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11-23-1998

100905479

U.S. Department of Commerce  
Patent and Trademark Office

PATENT

101005042

RECORDATION FORM COVER SHEET  
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	Frame # <input type="text"/>

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## Conveying Party(ies)

 Mark if additional names of conveying parties attachedName (line 1)  Fitzgerald, Arthur C.Execution Date  
Month Day Year  
11 10 98Name (line 2)  Coughlan, John F.Execution Date  
Month Day Year  
11 10 98

## Second Party

Name (line 1) Execution Date  
Month Day Year  
11 10 98Name (line 2) 

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City State/Country Zip Code

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**Correspondent Name and Address**

Area Code and Telephone Number **978-371-0524**

Name **Kenneth L. Milik**

Address (line 1) **Milik & Medeiros**

Address (line 2) **57 Main Street**

Address (line 3) **Concord, MA 01742**

Address (line 4)

**Pages** Enter the total number of pages of the attached conveyance document including any attachments. # **2**

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**09/189,772**

**Patent Number(s)**

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month **11** Day **10** Year **98**

**Patent Cooperation Treaty (PCT)**

PCT

PCT

PCT

Enter PCT application number

only if a U.S. Application Number PCT

PCT

PCT

**Number of Properties**

Enter the total number of properties involved.

# **1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ **40**

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Enclosed

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees:

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No

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

**Kenneth L. Milik**  
Name of Person Signing

**Kenneth L. Milik**  
Signature

**11/10/98**  
Date

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PATENTS ONLY

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Name (line 1)

Goldman, Jack R.

Execution Date  
Month Day Year

11 10 98

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**

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Address (line 1)

Address (line 2)

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State/Country

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be a separate document from  
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Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

**Application Number(s) or Patent Number(s)**

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**


**Patent Number(s)**


## **Assignment**

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we the undersigned Arthur C. Fitzgerald, residing at 203 Pine Brook Drive, West Peabody, MA 01960; John F. Coughlan, 29 Bay Pointe Drive Buzzards Bay, MA 02532; and Jack B. Goldman, residing at 96 Harvard Avenue, Brookline, MA 02446 hereby

Sell, assign and transfer to Electronic Paper Solutions, Inc., a Massachusetts corporation having a place of business at 203 Pine Brook Drive, West Peabody, MA 01960, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title, and interest for the United States and all foreign countries, in and to any and all inventions which are disclosed in the application for United States Letters Patent executed of even date herewith and entitled **AUTOMATED SHELF MANAGEMENT SYSTEM AND PROCESS FOR TRACKING AND PURGING FILE FOLDERS IN A FILE STORAGE FACILITY** and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent and reexamination certificates which have been or shall be filed in the United States and all foreign countries on any of said inventions; and in and to all original and reissued patents and reexamination certificates which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications and requests for reexamination certificates on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said assignee all known facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

Hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us, and that full right to convey the same herein expressed is possessed by us.

Dated: 11/10/98

Arthur C Fitzgerald  
Arthur C. Fitzgerald

Dated: 11/10/98

John F. Doughlan  
John F. Doughlan

Dated: 11/10/98

Jack B. Goldman  
Jack B. Goldman

STATE OF: Massachusetts

COUNTY OF: Middlesex

Subscribed and sworn to before me this 10th day of November 1998

SEAL

Wendy M. Melk  
Wendy M. Melk Notary Public

My Commission Expires: 01-24-03

100-107-07-031

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INDEXED  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Arthur C. Fitzgerald et al.  
Serial No: 09/189,772  
Filing Date: November 10, 1998  
For: AUTOMATED SHELF MANAGEMENT SYSTEM  
AND PROCESS FOR TRACKING AND PURGING  
FILE FOLDERS IN A FILE STORAGE FACILITY  
Docket No. PA-98-008  
Document ID No. 100905479

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: U.S. Patent & Trademark Office, Assignment Division, Box Assignments, CG-4, 1213 Jefferson Davis HWY, Suite 320, Washiton, D.C. 20231, on this date: April 5, 1999.

Kenneth L. Milik  
Kenneth L. Milik, Registration No. 30,963  
Agent for Applicant

---

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

RESUBMISSION OF CORRECTED COVER SHEET UNDER MPEP 317.02

In response to the Notice of Non-Recordation of Document, mailed on March 9, 1999, the document is herein resubmitted, with the conveyance type indicated as an assignment.

Respectfully submitted,  
Joseph N. Spring, Jr.

By: Kenneth L. Milik  
Kenneth L. Milik  
Agent for Applicant  
Registration No. 30,963

Milik & Medeiros  
57 Main Street  
Concord, MA 01742  
Telephone No. (978) 371-0524



UNITED STATES DEPARTMENT OF COMMERCE  
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MARCH 09, 1999

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MILIK & MEDEIROS  
KENNETH L. MILIK  
57 MAIN STREET  
CONCORD, MA 01742



\*100905479A\*

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